

# Effects of species' similarity and dominance on the functional and phylogenetic structure of a plant meta-community

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**Abstract.** Different assembly processes drive the spatial structure of meta-communities ( $\beta$ -diversity). Recently, functional and phylogenetic diversities have been suggested as indicators of these assembly processes. Assuming that diversity is a good proxy for niche overlap, high  $\beta$ -diversity along environmental gradients should be the result of environmental filtering while low  $\beta$ -diversity should stem from competitive interactions. So far, studies trying to disentangle the relative importance of these assembly processes have provided mixed results. One reason for this may be that these studies often rely on a single measure of diversity and thus implicitly make a choice on how they account for species relative abundances and how species similarities are captured by functional traits or phylogeny.

Here, we tested the effect of gradually scaling the importance of dominance (the weight given to dominant vs. rare species) and species similarity (the weight given to small vs. large similarities) on resulting  $\beta$ -diversity patterns of an alpine plant meta-community. To this end, we combined recent extensions of the Hill numbers framework with Pagel's phylogenetic tree transformation approach. We included functional (based on the leaf–height–seed spectrum) and phylogenetic facets of  $\beta$ -diversity in our analysis and explicitly accounted for effects of environmental and spatial covariates.

We found that functional  $\beta$ -diversity was high when the same weight was given to dominant vs. rare species and to large vs. small species' similarities. In contrast, phylogenetic  $\beta$ -diversity was low when greater weight was given to dominant species and small species' similarities. Those results suggested that different environments along the gradients filtered different species according to their functional traits, while, the same competitive lineages dominated communities across the gradients.

Our results highlight that functional vs. phylogenetic facets, presence-absence vs. abundance structure and different weights of species' dissimilarity provide complementary and important information on the drivers of meta-community structure. By utilizing the full extent of information provided by the flexible frameworks of Hill numbers and Pagel's tree transformation, we propose a new approach to disentangle the patterns resulting from different assembly processes.

**Key words:** alpine communities;  $\beta$ -diversity; community assembly; functional diversity; Hill numbers; phylogenetic diversity.

## INTRODUCTION

The spatial structure of meta-community diversity ( $\beta$ -diversity) is a key feature for understanding how the environment shapes biodiversity patterns (Kraft et al. 2011, Myers et al. 2013). While evaluating the change in species identities and relative abundances across communities has a long tradition in community ecology (Cody and Diamond 1975), recent work has highlighted the value of studying the change in species ecological similarities instead, in order to identify the spatial patterns that emerge from different historical, ecological, and evolutionary processes (Graham and Fine 2008). In that perspective, distance measures applied to

functional traits and phylogenetic trees have been increasingly used to estimate species ecological similarities (Pavoine and Bonsall 2011). Functional distances are based on species' functional traits, i.e., measurable morphological, physiological or phenological features that impact their fitness via their effects on growth, reproduction, and survival (Violle et al. 2007) and thus are directly connected to species' niches (Thuiller et al. 2004). Pairwise species' phylogenetic distances measure divergence times during evolutionary history and are often argued to be a good synthetic measure of species ecological differentiation as they do not require the identification and measurement of relevant traits (Faith 1992, Webb 2000, Mouquet et al. 2012).

However, functional and phylogenetic diversity do not necessarily provide similar information and patterns (Cadotte et al. 2013, Thuiller et al. 2014b). How strongly their patterns overlap depends on the strength of

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phylogenetic signal in the functional traits (i.e., the trend for closely related species to be more similar than distantly related species), which in turn depends on the underlying processes of niche evolution and species diversification (Losos 2008, Burns and Strauss 2011). The joint analysis of functional and phylogenetic facets of diversity can thus provide simultaneous hypotheses on the impacts of past evolutionary history (phylogenetic diversity) and specific phenotypic traits (functional diversity) on current ecological processes (Devictor et al. 2010, Safi et al. 2011, Cadotte et al. 2013).

If functional or phylogenetic similarities are suitable proxies for niche overlap then the observed patterns of  $\beta$ -diversity can shed light on the underlying ecological and evolutionary processes. High  $\beta$ -diversity along steep ecological gradients would identify a strong effect of ecological processes that foster the local co-occurrence of similar species and the regional differentiation of communities, suggesting either strong environmental filtering or dispersal limitation. Otherwise, very low  $\beta$ -diversity along steep ecological gradients reveals a stability of community structure, suggesting an absence of environmental filtering on the species feature studied, unlimited dispersion, or widespread local coexistence of competitive species (Spasojevic et al. 2014). These ecological processes are not exclusive and rather act simultaneously producing a complex pattern of diversity. We propose here that this complexity can be disentangled by gradually varying the effects of (1) species similarity and (2) species dominance in the functional and phylogenetic diversity patterns.

A pervasive, but never challenged, assumption in studies of community assembly is that species ecological similarity varies linearly with interspecific functional or phylogenetic distance (e.g., Webb 2000, Mason et al. 2005). However, this is done for reasons of simplicity and with little theoretical foundation as the scenario of trait evolution that would result in this linear relationship is unlikely (see, e.g., Fig. 3 in Thuiller et al. 2010). Studies on phylogenetic diversity patterns have shown that contrasted assembly processes can be detected when considering, for instance, all lineages of a meta-community or only a specific lineage (Cavender-Bares et al. 2006, Münkemüller et al. 2014). It has been also suggested that competitive interactions could often be restricted to specific lineages or functional groups (Cavender-Bares et al. 2006, Slingsby and Verboom 2006), while environmental filtering could be predominant when considering the assembly of distantly related lineages (Vamosi et al. 2009), due to broad climatic adaptations being conserved in angiosperms lineages (Crisp et al. 2009). Modeling species ecological similarities by assuming that all parts of the phylogeny or functional tree (i.e., a dendrogram based on species trait dissimilarities) are equally relevant may thus hinder the detection of assembly processes operating between closely related species or functionally close species vs. highly dissimilar ones. Instead, varying the importance

given to small compared to large species similarities (i.e., to branches close to the root vs. to branches close to the tips of the phylogenetic or functional tree) in the diversity patterns analysis may allow to uncover the different patterns at different similarity scales (called “similarity effect” hereafter).

Communities often exhibit an uneven species abundance distribution (Volkov et al. 2003). Usually a few species make most contribution to community biomass, vegetation cover or number of individuals while the majority of species are locally rare. Different ecological processes are responsible for this dominance pattern commonly observed (de Bello et al. 2012). Hierarchical scaling of community assembly rules (Lortie et al. 2004) and recent modeling developments have indeed hypothesized that while occurrence patterns may be primarily driven by environmental filtering, the local abundance of species mostly results from the interplay between biotic interactions and dispersal limitations (Boulangeat et al. 2012). We therefore expect that diversity patterns analyses yield contrasting results according to the importance given to dominant vs. rare species (called “dominance effect” hereafter).

The similarity and the dominance effects can impact the identification of patterns and the interpretation of underlying ecological processes and may thus be highly informative for our ecological understanding. However, in most diversity studies these effects are not explicitly considered. Instead, implicit weights are given to species similarities and abundance differences through the selection of an a priori diversity index (Tuomisto 2010a, Pavoine and Bonsall 2011). This lack of explicit consideration may be partly explained by the fact that comprehensive methods were unavailable so far. However, recent extensions of the Hill numbers (Hill 1973) now allow computing diversity indices with varying strength of the dominance effect, while at the same time considering species ecological dissimilarities (Pavoine et al. 2009, Chao et al. 2010, Leinster and Cobbold 2012). Additionally, studies of trait evolution have long used transformed trees to explicitly parameterize the importance of the phylogenetic similarity effect. One common tree transformation is the delta transformation (Pagel 1997). The rationale of this transformation is that a phylogenetic tree stretched close to the root puts more weight on large phylogenetic distances while a tree stretched close to the tips puts more weight on small phylogenetic distances. We use this approach to include and parameterize the strength of the similarity effect in our  $\beta$ -diversity analysis.

Here, we build on a multiplicative  $\alpha$ ,  $\beta$ ,  $\gamma$  decomposition framework (Whittaker 1960, Jost 2007) in which we explicitly integrate the dominance and similarity effects into the study of functional and phylogenetic diversity patterns. Our study system is a plant meta-community composed of 120 community plots in a valley of the French Alps. Our hypothesis is that interacting environmental filters and competition drive

the diversity patterns in these plant communities (Boulangeat et al. 2012). We ask whether the integration of the similarity and dominance effects allows us to identify diversity patterns that would have been hidden in a classical diversity analysis. More specifically, we test whether environmental filters can be detected based on  $\beta$ -diversity patterns that build on low dominance weights and strong weights on large species similarities and whether competition can be detected based on  $\beta$ -diversity patterns that build on high dominance weights and low weights on large species similarities. In addition we ask, whether trait diversity and phylogenetic diversity capture the expected patterns equally well.

## MATERIALS AND METHODS

### Data

*Study area.*—The study area was the 25 km long Guisane Valley located in the center of the French Alps (~260 km<sup>2</sup>; 44.9° N, 6.5° E). The valley is characterized by contrasted climatic conditions, with mean annual temperatures ranging from -8.1°C to 7.7°C. As in other valleys of the central Alps, the landscape is a mosaic of coniferous and deciduous forests, shrub heaths, subalpine grasslands and alpine meadows. All these habitats were represented in our data set.

*Environmental data.*—We used climatic variables (mean temperature of the coldest month of the year, relative summer wetness and sum of winter precipitations) and topographic variables (bedrock carbon content, topographic wetness index, and topographic position, i.e., topographic convexity or concavity). The climatic variables were originally extracted from the AURELHY database (Benichou and Le Breton 1987), downscaled to a 100-m resolution (Zimmermann et al. 2007), while the topographic variables came from a 50-m resolution digital elevation model.

*Community plots.*—We worked with a meta-community of 120 community plots that have been sampled in the Guisane valley from 2009 onward by the Alpine National Botanic Conservatory. Sites were representative of the heterogeneity of the valley's climatic conditions. They were on average separated by 10 km (only 0.4% of site pairwise geographic distances fell below the threshold of 100 m set by the climatic variables resolution). The herbaceous strata of the community-plots were surveyed within an approximate area of 100 m<sup>2</sup> of homogeneous vegetation by expert botanists. The abundance estimates were based on an abundance-dominance scale using six cover classes (Braun-Blanquet 1946). Our meta-community data set included initially a total of 531 species.

*Functional tree.*—We chose three functional traits that describe species' ecological strategies according to the leaf-height-seed spectrum: specific leaf area, height, and seed mass (LHS; Westoby 1998). These traits are strongly related to the fundamental processes of plant life, i.e., dispersal, establishment, and persistence (Weiher et al. 1999), and their combination has been

useful to capture the existing variation in plant ecological strategies (Lavergne et al. 2003, Slingsby and Verboom 2006). Specific leaf area (SLA, i.e., light intercepting area per leaf dry mass) reflects the trade-off between resource acquisition and conservation in plants. Height at maturity is related to competitive ability and avoidance of environmental stress (Körner 2003). Seed mass strongly influences dispersal and is related to establishment (Pakeman et al. 2008). The trait information from each species was retrieved from the Alpine functional trait database (ANDROSACE; W. Thuiller et al., *unpublished data*). The database includes trait information for alpine plants from several in-house projects and freely available databases (see Appendix A for details). We excluded species for which less than two traits were available. The remaining 400 species still accounted for more than 80% of the total abundance of each studied community (Pakeman and Queded 2007). We then calculated the relative abundance of each species by dividing the abundance estimates by the total abundance of the remaining species in each community. Finally, we estimated the functional distance matrix from the trait-by-species matrix. Each trait was previously log-transformed to conform to normality and scaled between 0 and 1. We then constructed a functional tree as a prerequisite for performing the tree transformation detailed below. We used a hierarchical clustering approach to build an ultrametric functional dendrogram (functional tree; Mouchet et al. 2008) of all species, employing an average agglomeration method (UPGMA, function `hclust` in R).

*Phylogenetic tree.*—We used an ultrametric genus-level phylogeny of alpine plants extracted from Thuiller et al. (2014a) that followed the workflow proposed in Roquet et al. (2013) with DNA sequences downloaded from Genbank (see Appendix A for details). The tips of the phylogenetic tree were resolved with polytomies to obtain a species-level phylogeny. The 400 species were vascular plants, mostly angiosperms (393 species) but also included six ferns species and one spike moss species.

### Analysis

We performed our analyses in three steps. First, we calculated how strongly trait values relate to the phylogenetic tree, for each single trait but also for all traits together (phylogenetic signal). Second, we tested the effects of similarity and dominance on the estimation of meta-community  $\beta$ -diversities (functional and phylogenetic). Finally, we tested the strength of the influence of space and environment on intercommunity pairwise diversities as a function of the similarity and dominance effects.

*Phylogenetic signal in functional traits.*—We used Pagel's  $\lambda$  (1997) to measure the strength of the phylogenetic signal of each functional trait.  $\lambda$  is a scaling parameter for the phylogeny. Its value is fitted so that the resulting transformation of the phylogeny ensures the best fit of trait data to the Brownian motion

model. If  $\lambda$  is not significantly different from 0, the trait distribution is independent from the phylogeny. We estimated  $\lambda$  with the function `fitContinuous` (R package `geiger`; Harmon et al. 2008) and tested it against the hypothesis that the trait distribution was independent from the phylogeny ( $\lambda = 0$ ) using a log-likelihood ratio test (Münkemüller et al. 2012).

To test the phylogenetic signal of the LHS scheme (the three traits together), we performed a Mantel test between the matrix of the functional tree distances and the matrix of the square-root phylogenetic distances, as recommended by Hardy and Pavoine (2012).

*Diversity decomposition and meta-community  $\beta$ -diversity.*—We used the generalization of Chao et al. (2010) of Hill numbers (Hill 1973) to estimate the phylogenetic or functional  $\alpha$ -diversity of each community and the  $\gamma$ -diversity of the whole meta-community. Following this generalization implies calculating a diversity index, which takes into account species similarities based on the branch lengths of either a phylogenetic or functional tree.

The index is a function of a parameter  $q$ , which varied between 0 and  $+\infty$  and reflects the effect of dominance on the diversity estimation. The more  $q$  increases, the more  ${}^qD$  is influenced by dominant species and the less by rare species

$${}^qD(p) = \begin{cases} \left( \sum_i \frac{L_i}{T} \times p_i^q \right)^{\frac{1}{1-q}} & q \neq 1 \\ \exp \left( - \sum_i \frac{L_i}{T} \times p_i \times \log(p_i) \right) & q = 1 \end{cases} \quad (1)$$

where the summation is over all branches of an ultrametric phylogenetic or functional tree of tips-to-root distance  $I$ ,  $L_i$  is the length of branch  $i$ , and  $p = \{p_i\}$  denotes the vector containing the summed relative abundance of all descendent species for each branch.

To calculate the  $\alpha$ -diversity for each community,  $p$  was calculated from the vector of the relative abundance of the  $N$  species occurring in the community, while to calculate the  $\gamma$ -diversity of the meta-community,  $p$  was calculated from the vector of the average relative abundance of the species over all communities (i.e., the entire meta-community). To improve the computational efficiency of our analysis, we used the mathematical formulation of  ${}^qD$  given in the appendices of Leinster and Cobbold (2012; R function available in Supplement 1).

Additionally, in Appendix B, we adapted the inclusion of species' similarity to an alternative generalization of Hill's number proposed by Leinster and Cobbold (2012) that relies on slightly different calculations (i.e., based on similarity matrices instead of trees). We compared the output of the two approaches and showed that they revealed largely similar results (see Appendix B for these analyses and discussion of relative advantages of both approaches).

*Characteristics of the applied diversity measure.*—The diversity measure we used here is strongly related to other well-known measures. If species are considered to be equally similar (i.e., they are linked by a star-like tree), then  ${}^qD$  (1) is equal to the number of species for  $q=0$ , (2) tends toward the Shannon entropy exponential for  $q$  tending toward 1, and (3) is the inverse of Simpson for  $q=2$ .

If species are not considered equally similar, then  ${}^qD$  is equal (1) to Faith index for  $q=0$  (Faith 1992), (2) to the exponential of Allen's index for  $q$  tending toward 1 (Allen et al. 2009), and (3) to a monotonic transformation of Rao's quadratic entropy, for  $q=2$  (Rao 1986).

The effects of similarity were taken into account using a transformation of the functional and phylogenetic trees of the entire Guisane meta-community prior to calculating diversity indices. We influenced the effect of similarity using the delta transformation of trees proposed by Pagel (1997) in a phylogenetic context (Appendix B: Fig. B1). The delta transformation raises the depth of the tree nodes to the power of  $\delta$ . In concrete terms, it inflates (respectively deflates) the length of close-to-root branches compared to close-to-tips branches when the parameter  $\delta$  is lower (respectively higher) than 1. When  $\delta$  tends toward  $+\infty$ , i.e., the transformed tree tends toward a star-like tree, all species are considered equally similar, and the diversity index approaches a measure of taxonomic diversity. In contrast, when  $\delta$  tends toward 0, the transformed tree is reduced to the two branches descending from the root and species are fused together according to this branching.

In species similarity terms, the delta transformation allows playing with the effect of similarity between species and shifts the scope of the analysis from large species cophenetic distances (weak similarity effect,  $\delta < 1$ ) to small species cophenetic distances (strong similarity effect,  $\delta > 1$ , Appendix B: Fig. B1).

All together, we thus computed  $\gamma$ -diversity and  $\alpha$ -diversity using a function that depended on both the similarity ( $\delta$ ) and the dominance ( $q$ ) effects.

*Meta-community  $\beta$ -diversity standardized effect sizes.*— $\beta$ -diversity was calculated as the ratio of  $\gamma$ -diversity and the average  $\alpha$ -diversity of the meta-community estimated as the generalized mean of degree  $1-q$  of the  $\alpha$ -diversities of all communities (Jost 2007, Tuomisto 2010a, Chiu et al. 2014)

$$\beta(q, \delta) = \begin{cases} \gamma(q, \delta) / \left[ \frac{1}{S} \sum_j \alpha_j(q, \delta)^{1-q} \right]^{\frac{1}{1-q}} & q \neq 1 \\ \gamma(q, \delta) / \exp \left[ \frac{1}{S} \sum_j \log(\alpha_j(q, \delta)) \right] & q = 1 \end{cases} \quad (2)$$

with  $\alpha_j$  the  $\alpha$ -diversity of community  $j$  and  $S$  the number of communities.

$\beta$  has a minimal possible value of 1 if all communities are identical in species abundances and species identities. Furthermore,  ${}^qD$  obeys the replication principle. This means that if the studied communities had all an equal  $\alpha$ -diversity, if they did not have any species in common, and if the species belonging to different communities are descending from different functional or phylogenetic tree branches, then the  $\beta(q, \delta)$  of the study area would be maximized and equal to  $S$  (Chiu et al. 2014). The replication principle is a necessary condition for the independence of  $\alpha$  and  $\beta$  and is thus essential to obtain meaningful measures of  $\beta$ -diversity (sensu Jost 2007).

The value of  $\beta(q, \delta)$  was then tested against a null model of tip-shuffling to assess whether the observed  $\beta$ -diversity was higher or smaller than expected from a model of random assembly of the species from the meta-community pool. We then calculated the standardized effect size (SES) of the  $\beta$ -diversity as the mean of the distribution minus the observed  $\beta$ -diversity divided by the standard deviation of the null distribution. If the  $\beta$ -diversity was higher than expected ( $SES < 0$ ) then the communities differed more than expected under a random assembly model; if the  $\beta$ -diversity was lower than expected ( $SES > 0$ ), then the communities differed less than expected under a random assembly model.

*Influence of environment and space on intercommunity pairwise functional and phylogenetic diversities.*—Similarly to strong environmental filtering, dispersal limitation and ecological drift can also result in high  $\beta$ -diversity among communities (Ricklefs 2008). In this case, we can expect the intercommunity pairwise functional and phylogenetic diversities pattern to be spatially auto-correlated. In order to avoid any misinterpretation of diversity patterns, which may be partially influenced by these confounding processes, and to fully characterize the fingerprint of environmental filtering, we explicitly linked the intercommunity pairwise functional and phylogenetic diversities to environmental variables and space following a procedure based on Dray et al. (2012).

We then developed an approach to disentangle the relative effects (and their interaction) of space and environment on the structure of communities. To do so, we first defined space with Moran's eigenvector maps (MEM) based on a Gabriel graph obtained from the sites geographical coordinates. We retained only the MEMs with significant Moran's  $I$  ( $P < 0.05$ ). To define environment, we performed a principal component analysis (PCA) on environmental variables and extract sites scores along all the PCA axes. Second, for both phylogenetic and functional information, we generated intercommunity pairwise diversities matrices in function of the  $q$  and  $\delta$  parameters by calculating the functional and phylogenetic  $\beta$ -diversities (Eq. 2) between all pairs of communities. We subtracted 1 (the minimal possible value) from each diversity value to build a matrix of intercommunity pairwise diversities corresponding to the Whittaker's effective species turnover (Whittaker

1960, Tuomisto 2010b). Third, we performed a principal coordinates analysis (PCOA) to separate the communities in a multivariate space and extract community scores along the PCOA axes. We then applied a forward selection procedure to the MEM spatial predictors to retain the most relevant spatial predictors for each intercommunity pairwise diversities matrix (phylogenetic and functional, for each pair of  $q$  and  $\delta$ ; Blanchet et al. 2008). Finally, to partition the importance of space and environment to explain patterns of intercommunity pairwise diversities, we performed a variance partitioning procedure on the matrices of site scores deduced from the PCOA, with the matrix of relevant MEM and the matrix of site scores along the axes of the PCA on environmental variables as cofactors (Borcard et al. 1992). We therefore obtained for each pair of  $q$  and  $\delta$  parameters and each diversity facet (functional and phylogenetic), the variance explained by environment after controlling for space ( $E \setminus S$ ), the variance explained by space after controlling for environment ( $S \setminus E$ ) and the variance explained by the interaction of space and environment ( $S \times E$ ). These explained variances were defined as adjusted  $R^2$ .

All analyses were carried out using the software R 3.0.1 (R Development Core Team 2013) with the packages *ade4*, *ape*, *geiger*, *packfor*, *snowfall*, *space-makeR*, *spdep*, and *vegan*.

## RESULTS

### *Phylogenetic signal of functional traits*

All the individual traits exhibited a significant phylogenetic signal. SLA and height had moderate values of  $\lambda$  (height,  $\lambda = 0.52$ ,  $\chi^2 = 70.54$ ,  $df = 1$ ,  $P < 0.001$ ; SLA,  $\lambda = 0.56$ ,  $\chi^2 = 35.99$ ,  $df = 1$ ,  $P < 0.001$ ). Seed mass had the strongest phylogenetic signal (seed mass,  $\lambda = 0.97$ ,  $\chi^2 = 249.95$ ,  $df = 1$ ,  $P < 0.001$ ). The phylogenetic signal of the species LHS scheme was significant but very low (Mantel test,  $R^2 = 0.06$ ,  $P < 0.001$ ).

### *Meta-community $\beta$ -diversity standardized effect sizes*

The standardized effect sizes (SES) of functional  $\beta$ -diversity were overall low, but more specifically ( $SES < -3$ ) for low values of  $q$  ( $q < 1$ ) or for low to intermediate values of  $\delta$  ( $0.02 < \delta < 4$ , intermediate similarity effect, Fig. 1A). For more extreme values of  $\delta$  ( $\delta < 0.2$  or  $\delta > 2$ ) and high values of  $q$  ( $q > 5$ ), SES increased and the functional pattern of  $\beta$ -diversity became not discernible from the random expectation ( $SES > -2$  and  $SES < 2$ ). Overall this suggested a predominant influence of environmental filtering on functional diversity both when the dominance effect was weak (i.e., all species present have equal weight) and when the similarity effect was moderate (i.e., approximately unchanged functional tree branch lengths).

When focusing on phylogenetic diversity, the pattern was radically different and more complex (Fig. 1B). In general, SES of the meta-community phylogenetic  $\beta$ -diversity was lower than for functional diversity. Like

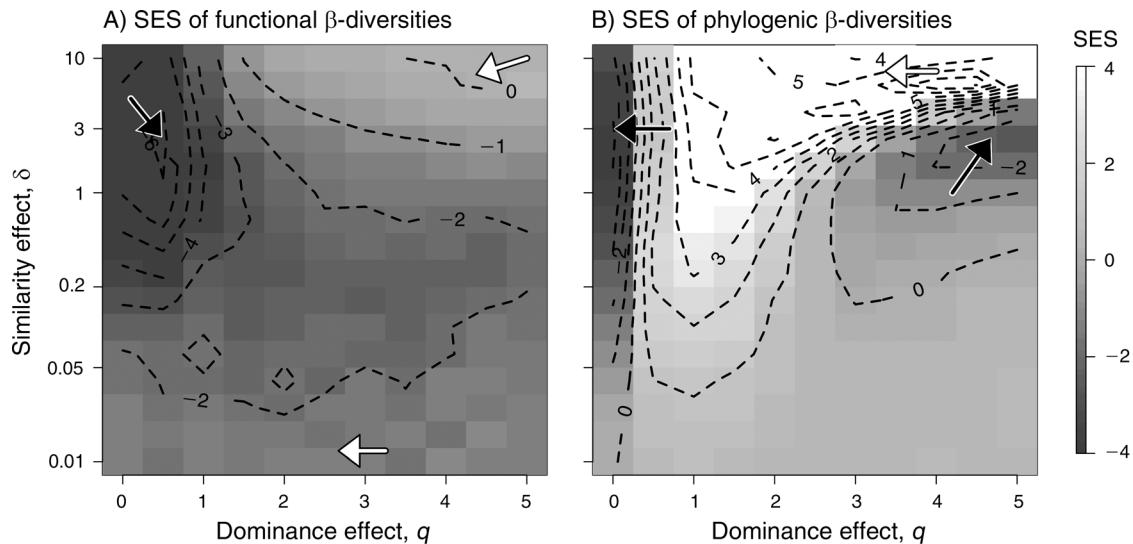


FIG. 1. Standard effect sizes (SES) of the (A) functional and (B) phylogenetic  $\beta$ -diversity of the meta-community against a tip-shuffling null model, as a function of the strength of the dominance effect ( $q$ ) and the strength of the similarity effect ( $\delta$ ). A low  $q$  value indicates that rare and dominant species were given about the same weight while a high  $q$  value indicates that more weight was given to dominant species. A low (respectively high)  $\delta$  value indicates that small (respectively large) species' similarities were given more weight. A low SES value indicates a higher than expected  $\beta$ -diversity, hence a predominant influence of environmental filtering, while a high SES value indicates a lower-than-expected  $\beta$ -diversity, hence a predominant influence of competition. Black and white arrows points toward local minima and maxima, respectively.

the functional  $\beta$ -diversity, the phylogenetic  $\beta$ -diversity was noticeably low ( $SES < -3$ ) for low values of  $q$  ( $q < 0.5$ ). However, the  $\beta$ -diversity was noticeably high ( $SES > 3$ ) when  $q$  was between 0.8 and 2 or when  $\delta$  was higher than 3. Otherwise the  $\beta$ -diversity did not differ strongly from the null expectation ( $SES > -2$  and  $SES < 2$ ). Overall, this suggested that communities had a similar phylogenetic structure under a moderate dominance effect and when small phylogenetic distances were emphasized ( $\delta > 3$ ). When the dominance effect was reduced or ignored ( $q < 0.5$ ), we detected environmental filtering, while we detected a random assembly process when considering a strong dominance effect.

#### *Effects of environment and space on intercommunity pairwise diversities*

The second analysis yielded similar results as the first one and mainly confirmed that the functional  $\beta$ -diversity pattern was indeed driven by the strong environmental gradients of the Guisane valley.

The purely environmental component ( $E/S$ ) explained only a small portion of the variance of the functional and phylogenetic intercommunity pairwise diversities regardless of the dual effects of dominance and similarity ( $R^2 < 0.10$ ; Fig. 2A and D).

The environmental component interacting with space ( $S \times E$ ) explained a variable proportion of the intercommunity pairwise functional diversities depending on the strength of the dominance and similarity effect (Fig. 2B). A moderate amount of variation was explained for functional diversity for a weak dominance ( $q < 2$ ) or a moderate similarity effect ( $0.5 < \delta < 4$ ) with

a maximal adjusted  $R^2$  of 0.20 (for  $q = 1$  and  $\delta = 1.58$ ). In comparison with the functional diversity pattern, the environmental component interacting with space ( $S \times E$ ) explained overall a low amount of variation of the phylogenetic diversity (Fig. 2E) with a mean adjusted  $R^2$  of 0.04.

The purely spatial component ( $S/E$ ) explained overall a moderate proportion of the variance in the intercommunity pairwise functional diversities with a mean adjusted  $R^2$  of 0.12. The purely spatial component ( $S/E$ ) explained overall a moderate proportion of the variance in the intercommunity pairwise phylogenetic diversities matrices with a mean adjusted  $R^2$  of 0.19. It, however, reached high values of adjusted  $R^2$  for a weak dominance and similarity effect ( $\delta < 0.2$ ,  $q < 0.5$ ) with a maximal adjusted  $R^2$  of 0.73 (for  $q = 0$  and  $\delta = 0.01$ ). More in-depth analyses revealed that this combination tended to distinguish particular communities (mostly marshes) that contained species from the long branches of our phylogeny (spike moss and fern species) from the angiosperms. As these species were both infrequent and locally rare, their contribution was masked when the dominance and similarity effect were strong.

#### DISCUSSION

The strong environmental gradients in alpine ecosystems are known to be important drivers of community structure (Mitchell et al. 2009, de Bello et al. 2012). In observational field studies, they are often the only identified drivers whereas local experiments demonstrated the importance of positive and negative biotic interactions between plant neighbors (Choler et al.

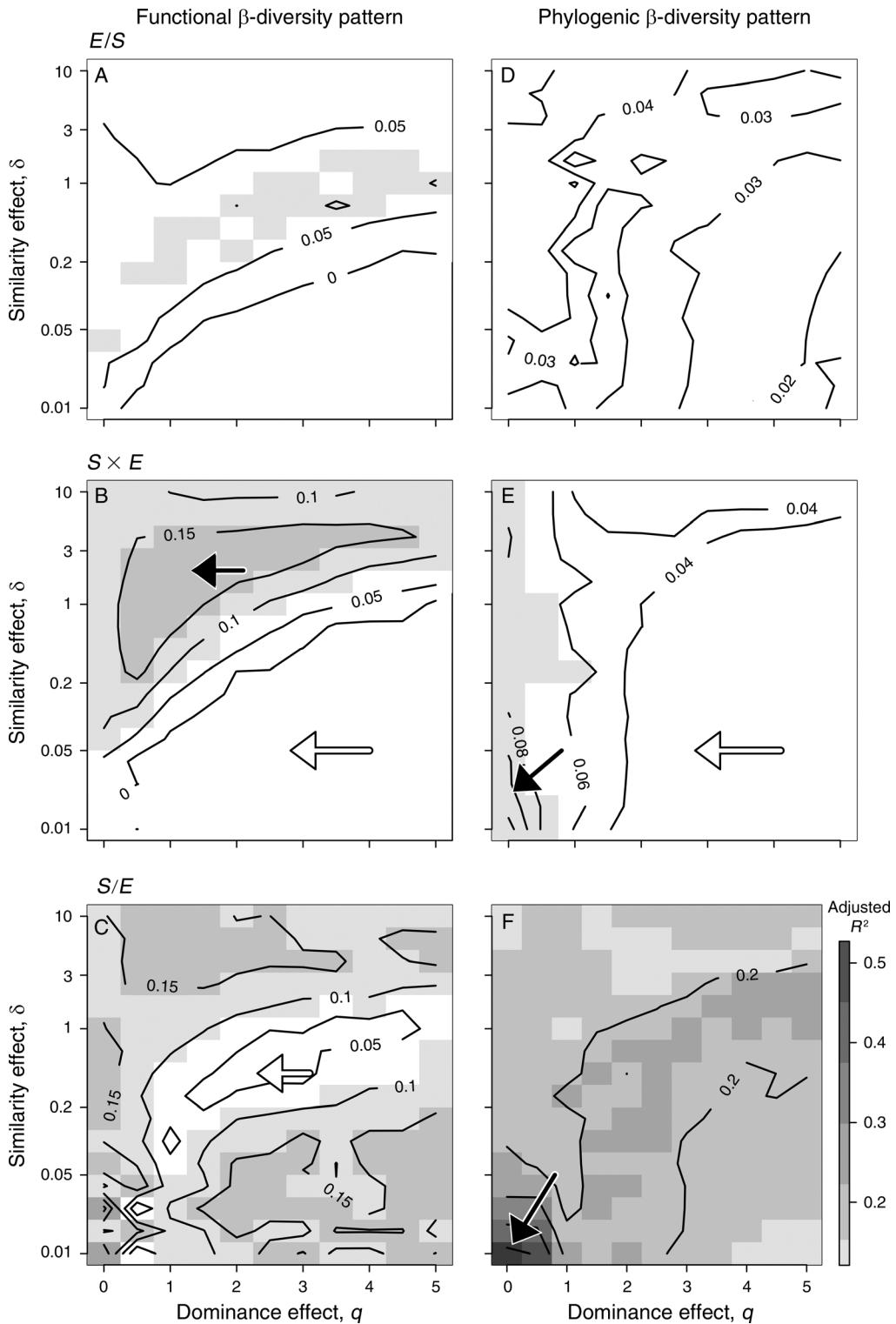


FIG. 2. Influence of environment ( $E$ ) and space ( $S$ ) on the intercommunity pairwise functional and phylogenetic diversities, as a function of the strength of the dominance effect ( $q$ ) and the strength of the similarity effect ( $\delta$ ). A low  $q$  value indicates that rare and dominant species were given about the same weight while a high  $q$  value indicates that more weight was given to dominant species. A low (respectively high)  $\delta$  value indicates that small (respectively large) species' similarities were given more weight (Fig. 1). The different lines and shades of gray represent the variance (adjusted  $R^2$ ) of the matrix of intercommunity pairwise diversities explained by environment only ( $E/S$ ), spatially autocorrelated environment ( $S \times E$ ) and a pure spatial effect ( $S/E$ ). Black and white arrows points toward local maxima and minima, respectively.

2001, Callaway et al. 2002). This apparent discrepancy is rooted in the fact that most published studies have either focused on functional or phylogenetic diversity and have chosen, or implied, a single arbitrary dominance and similarity effect. Here we show that jointly investigating both functional and phylogenetic patterns together with a comprehensive inclusion of dominance and similarity effects can reveal multiple patterns likely due to either environmental filtering or negative biotic interactions.

*Variable composition and stable phylogenetic dominance structure across communities*

Patterns of functional and phylogenetic  $\beta$ -diversity were very different in the study region. This mismatch stemmed in the moderately low phylogenetic signal of the functional traits studied both taken individually and together. As a consequence, the two facets of diversity appeared quite decoupled in the study meta-community. Assuming that species' niches can be abstracted as multi-dimensional hypervolumes (Hutchinson 1959), functional traits and phylogenetic identity can thus be interpreted as surrogates of distinct niche dimensions.

Interestingly, our analyses suggested that environmental filtering was the main driver of the patterns of functional and phylogenetic  $\beta$ -diversity when rare and dominant species were given the same weight (Figs. 1 and 2). This suggested that the functional and phylogenetic compositions of the communities were filtered out by the strong abiotic gradients of the Guisane valley (albeit quite weakly for the phylogenetic identity of species, Fig. 2D and E).

However, for a stronger dominance effect, the imprint of environmental filtering was less pervasive on the functional  $\beta$ -diversity. More strikingly, the observed phylogenetic  $\beta$ -diversity was consistently low relative to random expectations, suggesting a high stability of the phylogenetic community structure across space. This was true only when the similarity effect was strong ( $\delta > 1$ ), i.e., when small phylogenetic similarities were given more weight. We interpreted this pattern as the consequence of the dominance of some angiosperms lineages over other lineages in alpine herbaceous communities. For a weak similarity effect (thus when angiosperms species are considered highly similar), the pattern of phylogenetic stability of dominant angiosperms lineages was blurred by the random turnover of non-angiosperms vs. angiosperms between communities.

These last results showed that while communities differed strongly in terms of functional traits, their dominant species tended to come from the same lineages, suggesting a strong competitive advantage. In other words, the leaf–height–seed strategy scheme (Westoby 1998) was mainly informative about environmental filters driven by climatic gradients. Thus communities strongly varied along the gradients in regard of the trait values of their constituent species (Figs. 1A and 2B). Conversely, species phylogenetic differences informed weakly about environmental filters

(Fig. 2D and E) supporting other local diversity patterns studies (Silvertown et al. 2006, Bernard-Verdier et al. 2013). However phylogenetic  $\beta$ -diversities seemed to capture niche information related to competitive hierarchy suggesting that competition was driven by unmeasured traits showing potentially strong phylogenetic signal.

Both the study of  $\beta$ -diversity SES and intercommunity pairwise diversities yielded similar results about the action of environmental filtering on the functional and phylogenetic  $\beta$ -diversity patterns. The covariation of the intercommunity pairwise functional  $\beta$ -diversities with environmental variables suggested that the functional high  $\beta$ -diversity was driven by the steep local environmental gradients and was not solely due to spatial autocorrelation effects or to confounding assembly processes (Mayfield and Levine 2010). Our results further emphasized that the functional and phylogenetic  $\beta$ -diversity patterns were spatially auto-correlated; in particular within a specific window (low  $\delta$  and  $q$ ) for the phylogenetic diversity pattern. Our study area encompasses a single valley of limited area (260 km<sup>2</sup>) suggesting little influence of ecological drift. We thus associated the spatial autocorrelation to the influence of dispersal limitation or spatially structured environmental gradients that we did not directly account for.

The dominance effect had an important impact on the detection of diversity patterns. When the dominance effect was weak, our results suggested a more pervasive print of environmental filtering (Figs. 1A and 2) while for a strong dominance effect, communities seemed to be more driven by stochastic processes (in regard of the functional traits we studied) and competition (in regard of their phylogenetic identity; Fig. 1). We hypothesized that the environmental filters along the Guisane valley gradients primarily influenced which traits allowed species establishment within communities, but not which traits shaped species' competitive hierarchies. In contrast, environmental gradients did not strongly influence the phylogenetic community structure. Regardless of the location along the gradients, the communities were structured by a few dominant species from the same lineages (e.g., Poaceae, Fabaceae, Asteraceae). In combination, these two patterns suggested that these lineages maintain their dominance across environmental gradients thanks to strong trait lability, which has allowed (1) trait convergence and thus coexistence of distantly related species into communities despite strong environmental filters (Webb 2000) (2) the within-lineage emergence of niche-segregated species sorted out along gradients (Angert and Schemske 2005).

The similarity effect interacted with the dominance effect to reveal hidden features of the diversity patterns. The intercommunity pairwise functional distances were more strongly linked to environment for a moderate similarity effect, i.e., when the functional tree branch lengths were almost unchanged (Fig. 1). However, a weak or a strong similarity effect hinder the detection of

an environmental effect showing that tree branch transformation was unsuitable to improve the understanding of community assembly along environmental gradients.

To summarize, the presence-absence structure of communities was mainly driven by the high turnover of species due to the environmental filtering of their traits and phylogenetic identity while the dominance structure was mainly driven by the high abundance of the same lineages over the gradient, likely because of unmeasured competitive advantages.

#### *Emphasizing the different features of meta-communities*

Our results emphasize the importance of studying together different types of diversity as the interpretation of diversity patterns changed according to the studied diversity. In that perspective, the family of Hill numbers and its extension to phylogenetic and functional distances provides a promising framework to analyze the spatial patterns of meta-communities (Arroyo-Rodríguez et al. 2013). It allows fine-tuning of the effect of dominance and similarity, while retaining indices with similar mathematical properties (Chiu et al. 2014). Our results are particularly striking, since the parameterization drastically changed the detection of functional and phylogenetic diversity patterns. In return, this allowed us to suggest that different ecological processes affected the occurrence of species (low  $q$  values) and the local dominance of species (higher  $q$  values), as also found in Boulangeat et al. (2012). The similarity effect tended to reveal hidden patterns, in particular for the phylogenetic  $\beta$ -diversity pattern by either putting the emphasis on ancient or recent species' divergences.

There are numerous discrepancies in the literature about the link between community assembly and functional or phylogenetic diversities. Among others, some functional traits can be associated to both environmental filtering and biotic interactions even in the same ecosystem (e.g., Gross et al. 2009, de Bello et al. 2012) and phylogenetic diversity has been associated to various patterns of diversity (Mouquet et al. 2012). While spatial or evolutionary scale have been proposed to explain these various outcomes (Cavender-Bares et al. 2009), the impact of giving the same weight to all parts of the functional or phylogenetic tree is rarely tested (Thuiller et al. 2010, Cadotte et al. 2013). We argue here that the inclusion of the similarity effect in diversity patterns studies may help to clarify these discrepancies and provide more complete, if not clearer, diversity patterns. Other studies have done a similar job either through null model modifications (Hardy and Senterre 2007, Chalmandrier et al. 2013) or through other types of tree transformations (Rosauer et al. 2013). However, these frameworks ignored the parts of the functional and phylogenetic trees close to the root (which correspond to a moderate to a strong similarity effect) while ours can also do the reverse procedure and ignore the close-to-tips parts of the trees (weak similarity effect). Taken

together, the exploration of the dominance and similarity effect can help to determine the window in which the diversity pattern is best predicted by variables such as environment and space (Fig. 2), opening promising avenues to optimize the calibration of models of community turnover over space and environmental gradients (e.g., Dray et al. 2012, Rosauer et al. 2013).

#### *Conclusion*

The diversity patterns of meta-communities are the outcome of complex interactions between past evolution, current trait states, and multiple assembly rules (Cavender-Bares et al. 2009, Lavergne et al. 2010). Using an integrative framework of diversity pattern analysis, we demonstrated how the consideration of the dominance structure of communities and species ecological similarity affects diversity patterns of alpine plant meta-community. We found that environment controlled the functional and (more modestly) the phylogenetic diversity of the meta-communities when focusing on presence-absence like patterns (i.e., low dominance effect), which is typical of a compressed environmental gradient. Additionally, considering phylogenetic diversity in our innovative framework allowed us to suggest that biotic interactions shaped the dominance pattern. Together these results let us to conclude that alpine plant species have both labile functional traits to adapt to environmental gradients and unknown evolutionary conserved traits that drive community assembly via inter-specific competition. Explicitly testing the effects of dominance and species ecological similarity can thus help disentangling the multiple assembly rules affecting the functional and phylogenetic structure of meta-communities along environmental gradients.

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## SUPPLEMENTAL MATERIAL

## Ecological Archives

Appendices A and B and a Supplement are available online: <http://dx.doi.org/10.1890/13-2153.1.sm>